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DEB:AJC:kfd May 6, 1998



PATENT APPLICATION Docket No.: GSF98-01A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Walter H. Günzburg, Robert M. Saller and Brian Salmons

Serial No .:

09/035,596

Group Art Unit: 1642

Filed:

March 5, 1998

For:

THE USE OF THE WAP OR MMTV REGULATORY SEQUENCES FOR

TARGETED EXPRESSION OF LINKED HETEROLOGOUS GENES IN

HUMAN MAMMARY CELLS, INCLUDING HUMAN MAMMARY

CARCINOMA CELLS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231

Date Signature

Typed or printed name of person signing certificate

TRANSMITTAL OF SEQUENCE LISTING AND PRELIMINARY AMENDMENT IN COMPLIANCE WITH 37 C.F.R. §§1.821-1.825

Box Missing Part

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

This amendment is submitted in order to comply with Sequence Rules 37 C.F.R. §§1.821-1.825. Transmitted herewith is a copy of the "Sequence Listing" in paper form for the above-identified patent application as required by 37 C.F.R. §1.821 and a copy of the "Sequence Listing" in computer readable form as required by 37 C.F.R.

§1.821(e). As required by 37 C.F.R. §1.821(f), Applicants' Attorney hereby states that the content of the "Sequence Listing" in paper form and the computer readable form of the "Sequence Listing" are the same and, as required by 37 C.F.R. §1.821(g), also states that the submission includes no new matter.

Applicants' Attorney submits the following amendments to comply with 37 C.F.R. §1.825:

In the Specification

Please insert immediately prior to the claims the "Sequence Listing" attached hereto, comprising SEQ ID NOs.:1-9, as new pages 35-38. Please renumber subsequent pages 35-44 as 39-48.

Respectfully submitted,

Anne J. Collins

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